

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Chee-Hong Wong, Kok-Hoon Teo, See-Wai Yip, Kok-Khuan Fong

and Eng-Whatt Toh

APPLICATION NO.:

09/881,899

FILING DATE:

June 14, 2001

TITLE:

Fast Escrow Delivery

EXAMINER:

Unknown

GROUP ART UNIT:

2131

ATTY. DKT. NO.:

20735-05503

RECEIVED

JUN 1 7 2002

Technology Center 2100

CERTIFICATE OF MAILING

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Dated: 6 Jun

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By: Brodle

y P. Ban-

Bradley D. Baugh, Reg. No.: 50,368

COMMISSIONER FOR PATENTS WASHINGTON, DC. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;

			iling of a first official action after the filing of a request for mination (RCE) under 37 CFR § 1.114;						
			•						
Ц		after three months of the filing date of this national application or the date							
		•	e national stage in an international application, or after the						
		•	of the first official action on the merits, whichever event last						
		•	before the mailing date of the first to occur of either: (1) a						
			nder 37 CFR §1.113; or (2) an action that otherwise closes						
	prosecution in the application, and:								
			ned hereto is the fee set forth under 37 CFR §1.17(p) for						
		subm	ission of this Information Disclosure Statement under 37						
		CFR.	§ 1.97(c); OR						
		Appl	icant certifies pursuant to 37 CFR § 1.97(e) that:						
			each item of information contained in this Information						
			Disclosure Statement was first cited in a communication						
			from a foreign patent office in a counterpart foreign						
			application not more than three months prior to the filing of						
			this Statement; OR						
			no item of information contained in this Information						
		_	Disclosure Statement was cited in a communication from a						
			foreign patent office in a counterpart foreign application						
			and, to the knowledge of the person signing this						
			certification after making reasonable inquiry, no item of						
			information contained in this Statement was known to any						
			individual designated under 37 CFR § 1.56(c) more than						
			three months prior to the filing of this Statement;						
	hefor	e the na	syment of the issue fee but after the mailing date of the first to						
	occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action								
			se closes prosecution in the application, and:						
			icant certifies pursuant to 37 CFR. § 1.97(e) that:						
	Ш	- ∠hhi	each item of information contained in this Information						
			Disclosure Statement was cited in a communication from a						
			foreign patent office in a counterpart foreign application not						
			more than three months prior to the filing of this Statement;						
		, 	or						
			no item of information contained in this Information						
			Disclosure Statement was cited in a communication from a						

					foreign	n patent office in a counterpart foreign application						
					and, to	the knowledge of the person signing this						
				•	certific	cation after making reasonable inquiry, no item of						
					inform	nation contained in this Statement was known to any						
					individ	lual designated under 37 CFR § 1.56(c) more than						
						nonths prior to the filing of this Statement; AND						
			П			o is the fee set forth under 37 CFR §1.17(p) for						
						This Information Disclosure Statement under 37						
				CFR.§								
			after th	•	, ,	he issue fee. Applicant request that the information						
		الا				rmation Disclosure Statement be placed in the file						
						§ 1.97(i), although the information may not be						
				ered by								
		This o		•								
			This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior									
			application No. [APPLICATION NUMBER], filed on [FILING DATE], and the references cited therein are hereby referenced, but are not required to be provided									
						CFR § 1.98(d). Italiant in this Information Disclosure Statement was						
						m a foreign patent office in a counterpart application,						
						not received by any individual designated in 37 CFR						
		-				ys prior to the filing of this Information Disclosure						
				CFR §	-							
	\boxtimes				t no fee	e is required for the consideration of this Information						
				atement.	_							
	Consid	deration	of the l	listed ref	erences	s and favorable action are solicited.						
						Respectfully submitted,						
		-				CHEE-HONG WONG, et al.						
	1		_									
Dated:	_	Jun	e Vo	02	-	By: Ladley P. Bener						
						Bradley D. Baugh, Reg. No.: 50,368 Fenwick & West LLP						
						Two Palo Alto Square						
						Palo Alto, CA 94306						
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						Fax.: (650) 494-1417						

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FORM PTO-1449 (REV. 6-89)

INFORMATION DISCLOSURE

(Use several sheets if necessary)

U.S. DEPARTMENT OF COMMER

Attorney's Docket No. 20735-05503

Serial No. 09/881,899

Applicant

Chee-Hong Wong, et al.

Filing Date
June 14, 2001

Group Art Unit

2132

				June 14, 1	2001		2132
			U.S. PATE	NT DOCUMENTS			
xaminer Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	Α	4,625,076	11/25/86	Okamoto et al.	178	22.11	
	В	5,005,200	04/02/91	Fischer	380	30	
	С	5,018,196	05/21/91	Takaragi et al.	380	30,	$\overline{\mathcal{A}}$
	D	5,157,726	10/20/92	Merkle et al.	380	23	<u>ال</u> ا
	E	5,388,158	02/07/95	Berson	380	23 6	
	F	5,432,785	7/11/95	Ahmed et al.	370	60.1	72
	G	5,432,852	07/11/95	Leighton et al.	380	30	2002 2002
	Н	5,544,152	8/6/96	Obermanns et al.	370	16	250
		5,623,653	04/22/97	Matsuno et al.	395	609	
	J	5,638,446	6/10/97	Rubin	380	25	
	.K	5,671,285	9/23/97	Newman	380	30	
	L	5,689,567	11/18/97	Miyauchi	380	25	
	М	5,706,452	01/06/98	Ivanov	395	331	
	N	5,734,651	03/31/98	Blakeley et al.	370	392	
	0	5,764,918	6/9/98	Poulter	395	200.66	
	Р	5,767,847	06/16/98	Mori et al.	345	329	
	Q	5,768,271	6/16/98	Seid et al.	370	389	
	R	5,802,286	9/1/98	Dere et al.	395	200.5	
			FOREIGN PA	ATENT DOCUMENTS			
		Document Number	Date	Country	Class	Subclass	Translation
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		OTHER DOC	UMENTS (Incl	uding Author, Title, Date, Pertin	ent Pages, Et	c.)	
							
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FORM PTO-1449 (REV. 6-89) U.S. DEPARTMENT OF COMM Patent and Trademark Office Attorney's Docket No. 20735-05503

Applicant

Serial No.

09/881,899

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Chee-Hong Wong, et al.

Filing Date Group Art Unit

June 14, 2001 2132

			U.S. PATE	NT DOCUMENTS		T		
xaminer Initial		Document Number	Date	Name	Class	Subclass	Filing D	
	S	5,812,669	9/22/98	Jenkins et al.	380	25		
	Т	5,825,865	10/20/98	Oberlander et al.	395	180	沿	
	U	5,848,248	12/8/98	Kawasaki et al.	395	9 68	الم)
	V	5,872,848	02/16/99	Romney et al.	380	多	- 1	
	w	5,878,398	03/02/99	Tokuda et al.	705	809	200	万
	X	5,898,156	04/27/99	Wilfong	235	380	2	O
	Y	5,912,974	06/15/99	Holloway et al.	380	51 9	\$	
	Z	5,915,024	06/22/99	Kitaori et al.	380	25		
	AA	5,948,103	09/07/99	Fukuzaki	713	200		
-	ВВ	5,956,406	9/21/99	Maldy	380	30		
	СС	6,009,173	12/28/99	Sumner	380	21		
	DD	6,038,541	03/14/00	Tokuda et al.	705	8		
	EE	6,055,575	4/25/00	Paulsen et al.	709	229		
	FF	6,079,020	6/20/00	Liu	713	201		
	GG	6,081,610	06/27/00	Dwork et al.	382	119		
	•		FOREIGN PA	ATENT DOCUMENTS				
		Document	Date	Country	Class	Subclass	Transla	
		Number					Yes	No
<u></u>		OTHER DOO	LIMENTS #	Della A. Alan Tilla Data Datio	ent Doces, 5t	0)		
		OTHER DOC	UIVIEN 13 (Incl	luding Author, Title, Date, Pertin	ent rages, Et	C.)	<u> </u>	
EXAMINER				DATE CONSIDERED				

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Sheet <u>3</u> of <u>3</u>

FORM PTO-1449

(REV. 6-89)



Attorney's Docket No. 20735-05503

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Serial No.

09/881,899

INFORMATION DISCLOSURE CITATION

Chee-Hong Wong, et al.

		(Use several sheets if necessary)		Filing Date June 14, 2		Group Art Unit 2132				
H			U.S. PATE	NT DOCUMENTS		<u> </u>				
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate			
	НН	6,085,322	07/04/00	Romney et al.	713	176				
	II	6,092,113	7/18/00	Maeshima et al.	709	230				
	JJ	6,092,200	7/18/00	Muniyappa et al.	713	291	7			
	KK	6,173,399	1/9/01	Gilbrech	713	19	E C			
	LL	6,226,748	5/1/01	Bots et al.	713	2009				
						Remind Regulation	BILL			
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			FOREIGN PA	ATENT DOCUMENTS		,				
		Document Number	Date	Country	Class	Subclass	Translation Yes No			
	ММ	EP0840478A2	06.05.98	EPO						
	NN	EP0915436A1	12.05.98	EPO						
	00	WO98/57464	17.12.98	PCT						
	PP	WO/9857465	17.12.98	PCT						
		OTHER DOC	UMENTS (Incli	uding Author, Title, Date, Pertine	ent Pages, Et	tc.)				
	QQ	Ellison, Carl, Schneie	r, Bruce, "Ten R	Risks of PKI: What You're not	Being Told		ic Key			
	RR	Infrastructure", Computer Security Journal, Vol. XVI, No. 1 (2000), pages 1-7. Introduction to SSL [online]. Netscape Communications Corporation (Last updated 10/09/98) [retrieved on 10/24/2000]. Copyright 1998. Retrieved from the Internet <url: <a="" href="http://developer.netscape.com/docs/manuals/security/sslin/contents.htm">http://developer.netscape.com/docs/manuals/security/sslin/contents.htm. Pages 1-11.</url:>								
	SS	The state of the s								
EXAMINER		http://developer.netso	cape.com/docs/n	DATE CONSIDERED	s.ntm. Pag	es 1-19.				

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.

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